



Utrecht University

# Logic for Computer Science

## 07 – Functions

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**Proof strategies**

## Functions

Given two sets  $A$  and  $B$ , we can form a new set  $A \rightarrow B$  consisting of **functions** from  $A$  to  $B$ .

## Example

- Suppose I'm teaching a class with 5 students

$S = \{\text{Alice, Bob, Carroll, David, Eve}\}$ .

- At the end of the class, I need to assign marks from 1 to 10 to each student.
- More precisely, this determines a **function**

$\text{marks} : S \rightarrow \{1 \dots 10\}$

More generally, we write  $f : A \rightarrow B$  to mean  $f \in A \rightarrow B$ .

## Functions

We write  $\text{marks}(x) = y$  when a student  $x$  is assigned the mark  $y$  by the marks function.

Crucially, each student is assigned a **single** grade.

This rules out situations such as:

$$\text{marks}(\text{Alice}) = 7$$

$$\text{marks}(\text{Alice}) = 10$$

Furthermore, the marks function should assign a mark to **every** student. That is, for each student  $s$  in  $S$ , there is a mark  $m$  in  $\{1..10\}$  such that

$$\text{marks}(s) = m$$

A function  $A \rightarrow B$  must map **every** element  $a \in A$  to a **single** element  $b \in B$ .

## Careful!

This is not to say that no two students can have the same grade:

```
marks(Bob) = 8
```

```
marks(Carroll) = 8
```

But the marks function should not associate two different grades with a single student.

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But the marks function should not associate two different grades with a single student.

Similarly, not all grades need to have a student associated with it.

For example, all students might receive a passing mark.

## Familiar examples

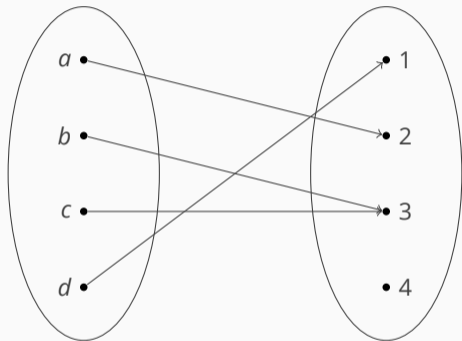
Functions pop up all over the place:

- $\sin$  and  $\cos$  are functions  $\mathbb{R} \rightarrow \mathbb{R}$ ;
- you might define a method `sort` mapping an array of integers to an array of integers;
- A function `legal : Int × Int × Board → Bool` that checks if placing a new token at position  $(x,y)$  is a legal move on a Reversi board `b`.
- ...

Functions are one of the most important building blocks in Computer Science!

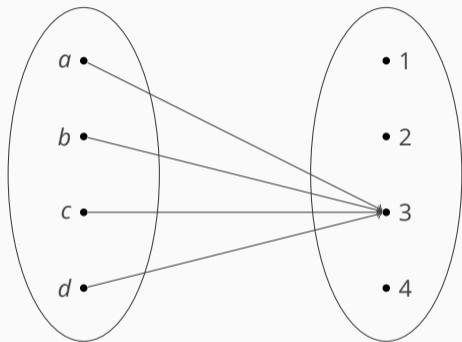


## Visualizing functions

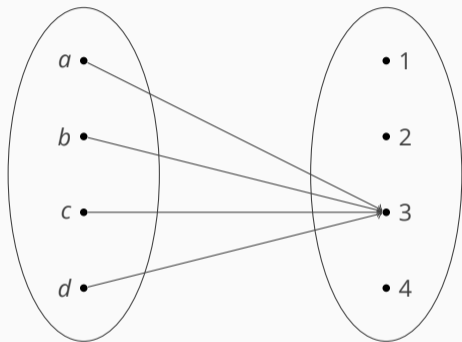


Here we can visualise a function from  $f: \{a, b, c, d\} \rightarrow \{1, 2, 3, 4\}$

## Is this a function?

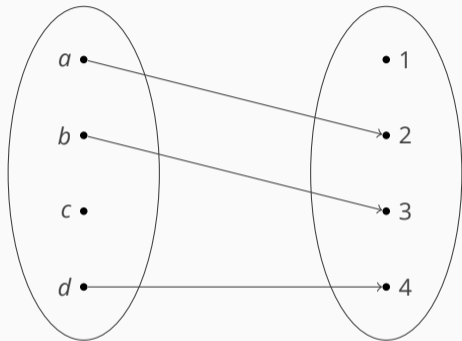


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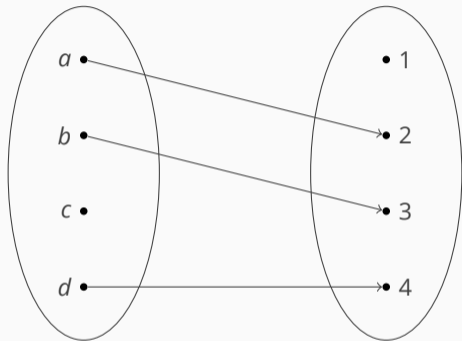


Yes! All inputs have exactly one output associated with them.

## Is this a function?



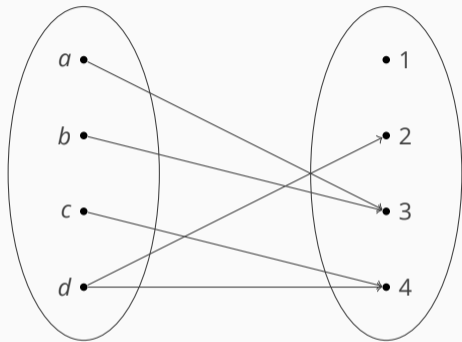
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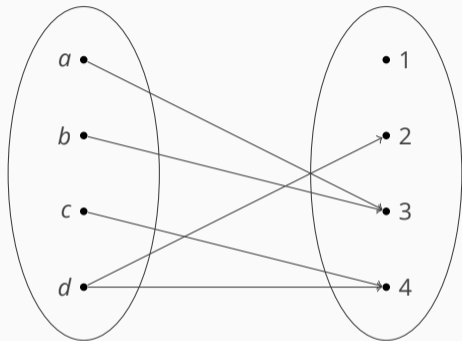
No! There is no output associated with the input  $c$ .

We sometimes refer to such 'incomplete' functions as *partial functions*.

## Is this a function?



## Is this a function?



No! There is more than one output associated with the input  $d$ .

This is an example of a *relation* – which we'll cover in the next lecture.

# Terminology

Given a function  $f : A \rightarrow B$  we introduce the following terminology:

- We call the set  $A$  the **domain** of the function;
- The set  $B$  is the **codomain** of the function;
- If a function takes more than one argument,  $f : A \times B \times C \rightarrow D$  we refer to the number of arguments as the **arity**.
- A function with two arguments is sometimes called a **binary function**; often we use *infix* notation, writing  $x + y$  rather than  $+(x,y)$ .
- The **range** of  $f$  is the subset of  $B$  that  $f$  can produce:

$$\text{range}(f) = \{f(a) : a \in A\}$$

**Question:** Give an example of a function whose range and codomain are different?



## Associativity and precedence

We repeat this construction more than once.

A set of built from  $(A \rightarrow (B \rightarrow C))$  is a function that, given an  $a \in A$ , returns a new function  $B \rightarrow C$ .

The function arrow associates to the right and has lower precedence than cartesian product.

$$A \times B \rightarrow C \rightarrow D$$

Should be bracketed as:

$$(A \times B) \rightarrow (C \rightarrow D)$$

You'll learn more about programming with such functions next year, when you take the course on *Functional Programming*.

## Image and pre-image

Besides applying a function to a single element, we can consider what happens when we apply a function to **all** the elements of a set.

Given a function  $f : A \rightarrow B$

- The **image** of a subset  $S \subseteq A$  under  $f$  is the subset of  $B$  defined by:

$$f(S) = \{f(a) : a \in S\}$$

- The **preimage** of a subset  $S \subseteq B$ , denoted by  $f^{-1}$ , is defined by

$$f^{-1}(S) = \{a : a \in A \wedge f(a) \in S\}$$

## Example: square root

Consider the square root function on real numbers:

$$\text{sqrt} : \mathbb{R} \rightarrow \mathbb{R}$$

**Question:** What is the image of  $\text{sqrt}$  on the set  $\{1,4,9,16\}$ ?

**Question:** And what is the preimage of  $\text{sqrt}$  on the set  $\{1,4,9,16\}$ ?

# Graphs

Given a function  $f : A \rightarrow B$  we can define the following subset of  $A \times B$ :

$$G = \{(a, f(a)) : a \in A\}$$

This is sometimes called the **graph** of a function.

**Question:** What function has the following graph?

$\{(0,0), (1,2), (2,4), (3,6), \dots\}$

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**Question:** What function has the following graph?

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For example, one choice might be:

$\text{double}(x) = x + x$

## Special functions

- On any set  $A$ , we can define the **identity function**  $\text{id} : A \rightarrow A$  as follows:

$$\text{id}(x) = x$$

- For any subset  $S$  of  $A$ , we can define the **characteristic function**, typically denoted by

$$\chi : A \rightarrow \{0,1\}$$

that returns true precisely when its argument is in  $S$ :

$$\chi(a) = \begin{cases} 1 & \text{when } a \in S \\ 0 & \text{when } a \notin S \end{cases}$$

## Properties of functions: injective

A function  $f : A \rightarrow B$  is called **injective** or **one-to-one**

if for all  $a \in A$  and  $a' \in A$ , whenever  $f(a) = f(a')$  then  $a = a'$

In other words, no two different elements of  $A$  are mapped to the same element of  $B$ .

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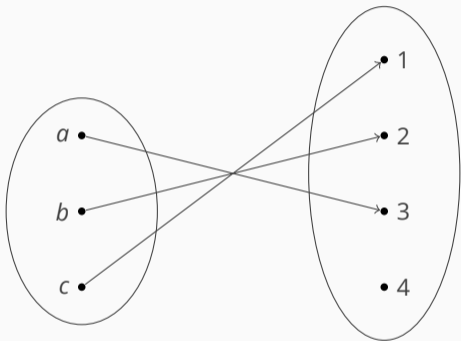
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Even more precisely,  $f$  is injective if

$$\forall a \in A \forall a' \in A \quad f(a) = f(a') \quad \Rightarrow \quad a = a'$$

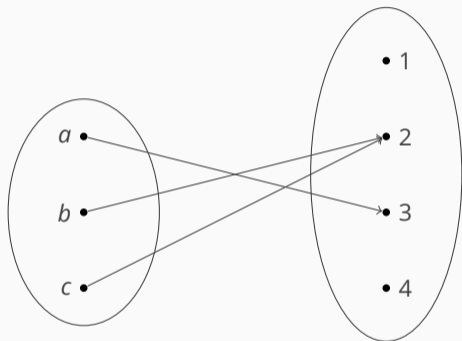


## Injective functions



This function is injective – each output has at most one input associated with it.

## Not an injective function



This function is **not** injective – the both  $b$  and  $c$  are mapped to  $2$ .

Examples and non-examples of injective functions:

- square :  $\mathbb{N} \rightarrow \mathbb{N}$  is injective
- length :  $\text{String} \rightarrow \mathbb{N}$  is **not** injective.

**Question:** Why not?

## Examples

Examples and non-examples of injective functions:

- square :  $\mathbb{N} \rightarrow \mathbb{N}$  is injective
- length :  $\text{String} \rightarrow \mathbb{N}$  is **not** injective.

**Question:** Why not?

We have  $\text{length}(\text{"a"}) = \text{length}(\text{"b"})$  but "a" and "b" are different!

## Properties of functions: surjective

A function  $f : A \rightarrow B$  is called **surjective** or **onto** if for all elements  $b \in B$ , there is an  $a \in A$  such that  $f(a) = b$ .

In other words, each element of  $B$  has at least one  $a \in A$  that is mapped to it by  $f$ .

## Properties of functions: surjective

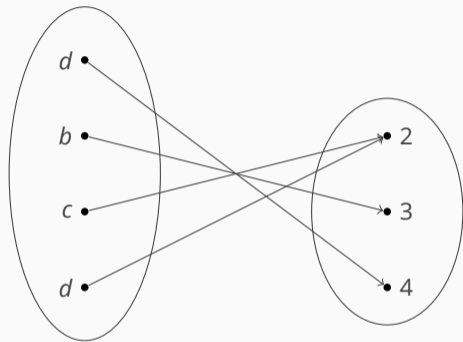
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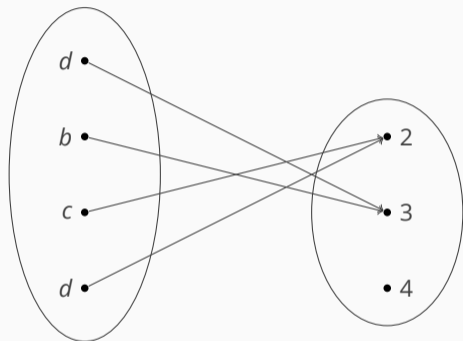
$$\forall b \in B \exists a \in A \quad f(a) = b$$

## Surjective functions



This function is surjective – each number in the codomain has an incoming arrow (or more precisely, for each number  $n$  in the codomain there is a letter  $c$  such that  $f(c) = n$ ).

## Surjective functions



This function is not surjective – there is no letter mapped to 4.



## Examples and non-examples of surjective functions

Examples:

- $\text{length} : \text{String} \rightarrow \mathbb{N}$  is surjective
- $\text{square} : \mathbb{N} \rightarrow \mathbb{N}$  is **not** surjective

**Question:** Why is square not surjective?

## Examples and non-examples of surjective functions

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- $\text{square} : \mathbb{N} \rightarrow \mathbb{N}$  is **not** surjective

**Question:** Why is square not surjective?

There is no natural number  $n$  such that  $\text{square}(n) = 3$ .

**Question:** Which functions are injective? Which are surjective?

- $\text{sort} : \text{Array} \rightarrow \text{Array}$
- $\text{isEven} : \mathbb{N} \rightarrow \text{Bool}$
- $\text{halve} : \mathbb{R} \rightarrow \mathbb{R}$
- $\text{square} : \mathbb{R} \rightarrow \mathbb{R}$

## Properties of functions: bijective

A function  $f : A \rightarrow B$  that is both **injective** and **surjective** is called **bijective**.

Since  $f$  is surjective, every element  $b \in B$  there is some element  $a \in A$  such that  $f(a) = b$ .

Since  $f$  is injective, this element is **unique**.

This suggests that we can define a new function  $f^{-1} : B \rightarrow A$ , that *inverts*  $f$ .

That is,  $f^{-1}(b) = a$  exactly when  $f(a) = b$ .

The function  $f^{-1}$  is also a bijection; inverting  $f$  twice yields our original  $f$ .

## Bijections, injections, surjections: why care?

- If you're developing a cryptographic function  $\text{encode} : \text{String} \rightarrow \text{String}$  – you really want to be sure that there is an inverse function  $\text{decode} : \text{String} \rightarrow \text{String}$  – hence  $\text{encode}$  should be **bijective**.

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- If you're writing a function that lets you save the current state of a Reversi game, you want to make sure that every saved file corresponds to exactly one game state – the saving should be **injective**!
- Suppose I want to number the elements of a set  $A$ . One way to do so is to define a function that maps each number  $n \in \mathbb{N}$  to an element of  $A$ . But this function needs to be **surjective** – otherwise there might be elements of  $A$  that are not numbered.

## Example

**Question:** Consider the function  $f: \mathbb{Q} \rightarrow \mathbb{Q}$  defined as follows

$$f(x) = 2x + 3$$

Is it injective? Surjective? Bijective? If so, what is its inverse?



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Yes. Yes. Yes. Its inverse is:

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The function  $f$  is *composed* of smaller pieces. In what follows, we'll describe *function composition* and how it preserves the key properties of functions.

## Function composition

Given two functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$ , we can **compose** them to define a new function

$$g \circ f: A \rightarrow C$$

$$(g \circ f)(a) = g(f(a))$$

Note the order! The composition  $g \circ f$  applies  $g$  *after*  $f$ .

## Function composition: preserves properties

- If both  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are both injective, then so is  $(g \circ f)$ ;
- If both  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are both surjective, then so is  $(g \circ f)$ ;
- If both  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are both bijective, then so is  $(g \circ f)$ ;

**Question:** How do you prove these properties?

## Function composition: consequences

To show a 'complicated' function such as

$$f(x) = 2x + 3$$

is bijective, it suffices to show that:

$$g(x) = 2x$$

$$h(x) = x + 3$$

are both bijective and for all  $x$ ,  $(h \circ g)(x) = f(x)$ .

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Reasoning about functions is inherently *compositional* – the behaviour of a compound function is entirely determined by the behaviour of its parts.

## Function composition: identity

Note that the identity function is the *unit of composition operator*.

That is, for all  $f : A \rightarrow B$  and  $\text{id}_B : B \rightarrow B$  and  $\text{id}_A : A \rightarrow A$ , we have:

$$\text{id}_B \circ f = f = f \circ \text{id}_A$$

The identity behaves like 0 and addition, or 1 and multiplication, or "" and string concatenation,...

## Partial functions and restrictions

If  $A \subseteq A'$  and  $f: A' \rightarrow B$ , we can define a function  $A \rightarrow B$  as follows:

$$f|_A(x) = f(x)$$

That is, we *restrict*  $f$  to only work on the subset  $A$  of  $A'$ .



## Partial functions

The functions  $f : A \rightarrow B$  we have seen so far are sometimes referred to as **total** functions, that is, they assign a value in  $B$  to every element of  $A$ .

In practice, many functions we work with in Computer Science are not total, but can fail for many different reasons:

- division by zero;
- invalid or unexpected inputs;
- square roots of negative numbers;
- non-terminating loops;
- corrupted data;
- ...

## Partial functions

A partial function  $f : A \rightarrow B$  is only defined for some subset  $A' \subseteq A$ .

On other values, it will not produce an output in  $B$ .

Examples include:

- $\text{inv}(x) = 1/x$
- $\text{toInt} : \text{String} \rightarrow \text{Int32}$
- $\text{first} : \text{List} \rightarrow \text{Int}$

These functions may all fail on some inputs.

## Case study: animations

Suppose we have a colour display with dimensions  $1680 \times 1050$ . Each pixel is colored with an RGB value between 0 and 255.

**Question:** How can you model the current screen?

**Question:** What about animations, built up from  $n$  different frames?

## Case study: animations

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**Question:** What about animations, built up from  $n$  different frames?

Define  $RGB = \{0..255\} \times \{0..255\} \times \{0..255\}$

We can model the current screen contents as:

$S = \{0..1680\} \times \{0..1050\} \rightarrow RGB$

Animations then correspond to:

$\{0, 1, 2, \dots, n\} \rightarrow S$

That is, for any given point in time, describe the contents of the screen.

## Cardinality

For any finite set  $A$ , we define the **cardinality** of  $A$  as its number of elements, usually written  $|A|$ .

For example, the cardinality of the set {Alice, Bob, Carroll, David, Eve } is 5.

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This notion of cardinality, mapping sets to numbers, is fine for **finite** sets – but what is the cardinality of the set of all natural numbers?

We cannot easily compare infinite sets in this fashion.

## Comparing the size of sets

Rather than talk about the exact number of elements in a set, we can compare two sets  $A$  and  $B$  by constructing functions between them.

If we can find a **bijection** between  $A$  and  $B$ , then we consider  $A$  and  $B$  to have the same size, written  $A \simeq B$ .

This notion works for both finite **and** infinite sets.

It generalizes our previous notion of cardinality, as for all *finite* sets  $A$  and  $B$  we have that

$$|A| = |B| \iff A \simeq B$$

## Examples

- The set of weekdays {Monday, Tuesday, ..., Sunday}  $\simeq$  {0,...,6}
- Let  $\mathbb{N}_{>0}$  be the set of all natural numbers strictly greater than 0. Then  $\mathbb{N}_{>0} \simeq \mathbb{N}$
- Let  $E$  be the set of even numbers, {0,2,4,..}. Then  $\mathbb{N} \simeq E$ . Why?
- **Theorem:** For any finite set  $A$ , there is no set  $B$  such that  $A \subset B$  and  $|A| = |B|$ .



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So do all infinite sets have the same cardinality?

Before we can answer the question on the previous slide, we need to introduce several new concepts.

- $A \preceq B$  if there exists an *injective* function from  $A$  to  $B$ ;
- $A \succeq B$  if there exists an *surjective* function from  $A$  to  $B$ ;

**Theorem** For any sets  $A$  and  $B$ ,  $A \preceq B$  if and only if  $B \succeq A$ .

**Theorem** For any sets  $A$  and  $B$ , if  $A \preceq B$  and  $B \preceq A$  then  $A \simeq B$ .

The proofs are not entirely trivial... Remember, these statements say something about the existence of a function rather than comparing numbers!

## Proof

**Theorem** For any sets  $A$  and  $B$ ,  $A \preceq B$  if and only if  $B \succeq A$ .

### Proof

Suppose  $A \preceq B$ , that is we have an injection  $f : A \rightarrow B$ .

We need to find a surjection  $g : B \rightarrow A$ .

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### Proof

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We need to find a surjection  $g : B \rightarrow A$ .

Choose  $a \in A$  be an arbitrary element of  $A$ .

We now construct  $g(b)$  as follows:

- if there is an  $x \in A$  such that  $f(x) = b$  then choose  $g(b) = x$ . Note that because  $f$  is injective, this choice for  $x$  is unique.
- otherwise,  $g(b) = a$ .

This function is surjective because for each element  $a \in A$ , we have that  $a = g(f(a))$ .

**Theorem** For any sets  $A$  and  $B$ ,  $A \preceq B$  if and only if  $B \succeq A$ .

**Proof**

Suppose  $B \succeq A$ , that is we have a surjection  $g : B \rightarrow A$ .

We need to find an injection  $f : A \rightarrow B$ .

**Question**

How should we construct the desired  $f$ ?

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**Question**

How should we construct the desired  $f$ ?

Because  $g$  is surjective, we know that for each  $a \in A$  we have at least one value  $b \in B$  such that  $g(b) = a$ ; hence we can define  $f$  to map each value  $a \in A$  to such  $b \in B$ .

**Theorem** For any sets  $A$  and  $B$ , if  $A \preceq B$  and  $B \preceq A$  then  $A \simeq B$ .

The proof is a good example of something that seems obvious – but is surprisingly hard to construct the desired bijection.

### Homework

Go through the proof in the book and identify the proof strategies being used.

- A set  $A$  is **finite** if there is a number  $n$  such that  $A \simeq \{1, \dots, n\}$ .
- A set  $A$  is **countably infinite** if  $\mathbb{N} \simeq A$  – that is, if there is a bijection between  $\mathbb{N}$  and  $A$ .
- A set that is finite or countably infinite is said to be **countable**.
- A set that is not countable is called **uncountable**.

What sets are uncountable?



## Uncountable sets?

- Clearly,  $\mathbb{N}$  is countable as the identity function is a bijection between  $\mathbb{N}$  and itself.
- What about the set of all integers,  $\mathbb{Z}$ ?

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We can find a bijection as follows:

$$f(n) = \begin{cases} \frac{n+1}{2} & \text{if } n \text{ is odd} \\ -\frac{n}{2} & \text{if } n \text{ is even} \end{cases}$$

$$\mathbb{N} = \{ 0, 1, 2, 3, 4 \dots \}$$

↓   ↓   ↓   ↓   ↓

$$\mathbb{Z} = \{ 0, 1, -1, 2, -2 \dots \}$$

is a bijection!

### Question

What is the inverse of this function?

## Rationals

What about the rational numbers,  $\mathbb{Q}$ ? Are these uncountable?

## Rationals

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$$\begin{array}{ccccccc} \mathbb{N} = \{ & 0, & 1, & 2, & 3, & 4, & 5, & \dots \} \\ & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \\ \mathbb{N} \times \mathbb{N} = \{ & (0,0) & (1,0), & (0,1), & (0,2), & (1,1), & (2,0) & \dots \} \end{array}$$

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A diagram helps:

$$\begin{array}{cccccc} (0,0) & (0,1) & (0,2) & (0,3) & (0,4) & \dots \\ (1,0) & (1,1) & (1,2) & (1,3) & (1,4) & \dots \\ (2,0) & (2,1) & (2,2) & (2,3) & (2,5) & \dots \\ \dots & & & & & \end{array}$$

## The reals are uncountable

The set of real numbers between 0 and 1 are uncountable.

Proof: Suppose we have a bijective function that enumerates all the real numbers one by one:

$$0 \mapsto 0.d_{00} d_{01} d_{02} d_{03} \dots$$

$$1 \mapsto 0.d_{10} d_{11} d_{12} d_{13} \dots$$

$$2 \mapsto 0.d_{20} d_{21} d_{22} d_{23} \dots$$

$$3 \mapsto 0.d_{30} d_{31} d_{32} d_{33} \dots$$

We can construct a real number  $r = 0.r_0r_1r_2r_3 \dots$  as follows:

$$r_i = (d_{ii} + 1) \bmod 10$$

By construction,  $r$  cannot occur in the image of our 'bijection' – hence no such bijection can exist.

**Theorem** For any set  $A$ , there is no surjection from  $A$  to  $P(A)$ .

(Proof left as one of the exercises)

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In particular,  $\mathbb{N} \prec P(\mathbb{N})$



## Why functions?

Using functions we can model quite complicated data structure *precisely* and *unambiguously* in a few lines.

Functions are *compositional* – we can build bigger functions from smaller pieces.

Yet easy to reason about mathematically.

Functions are one of the key concepts we'll learn in this course – and form an important foundation for much of Computer Science.

- Proof strategies for quantifiers
- Functions and their properties

Generalizing functions to **relations**.

- Modelling Computer Systems – Chapter 6 (excluding section 6.5)