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# **Talen en Compilers**

#### 2023 - 2024

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Department of Information and Computing Sciences Utrecht University

2023-11-13

## 1. Introduction



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### **Course Content Overview**



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1-2

A language is a set of "correct" sentences.

But what does that mean?



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A language is a set of "correct" sentences.

- But what does that mean?
- What is the difference between natural and formal languages?



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A language is a set of "correct" sentences.

- But what does that mean?
- What is the difference between natural and formal languages?
- Are all languages equally difficult or complicated?



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A language is a set of "correct" sentences.

- But what does that mean?
- What is the difference between natural and formal languages?
- Are all languages equally difficult or complicated?
- How can one decide whether a sentence is correct?



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A language is a set of "correct" sentences.

- But what does that mean?
- What is the difference between natural and formal languages?
- Are all languages equally difficult or complicated?
- How can one decide whether a sentence is correct?
- How can one represent a correct sentence?



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A **compiler** translates one language into another (possibly the same). How?

get hold of the structure of the input program



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- attach semantics to a sequence of symbols



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- optimize



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A **compiler** translates one language into another (possibly the same). How?

- get hold of the structure of the input program
- attach semantics to a sequence of symbols
- check whether a program makes sense
- optimize
- generate good machine code



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Computer science studies information processing.

We describe and transfer information by means of language



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- We describe and transfer information by means of language
- Information is obtained by assigning meaning to sentences



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- The meaning of a sentence is inferred from its structure



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- The structure of a sentence is described by means of a grammar



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Computer science studies information processing.

- We describe and transfer information by means of language
- Information is obtained by assigning meaning to sentences
- The meaning of a sentence is inferred from its structure
- The structure of a sentence is described by means of a grammar
- Getting this wrong is a common source of security bugs!



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Classes ("difficulty levels") of languages



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Classes ("difficulty levels") of languages

context-free languages



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Classes ("difficulty levels") of languages

- context-free languages
- regular languages



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Classes ("difficulty levels") of languages

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- regular languages

Describing languages formally, using



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Classes ("difficulty levels") of languages

- context-free languages
- regular languages
- Describing languages formally, using
  - grammars



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- Classes ("difficulty levels") of languages
  - context-free languages
  - regular languages
- Describing languages formally, using
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  - finite state automata



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- Grammar transformations



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- Grammar transformations
  - for simplification



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- Classes ("difficulty levels") of languages
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- Grammar transformations
  - for simplification
  - for obtaining more efficient parsers



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- Classes ("difficulty levels") of languages
  - context-free languages
  - regular languages
- Describing languages formally, using
  - grammars
  - finite state automata
- Grammar transformations
  - for simplification
  - for obtaining more efficient parsers
- Parsing context-free and regular languages, using



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- How to go from syntax to semantics



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To describe structures (i.e., "formulas") using grammars;



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To parse, i.e., to recognise (build) such structures in (from) a sequence of symbols;



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► To describe structures (i.e., "formulas") using grammars;

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- To analyse grammars to see whether or not specific properties hold;



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- To analyse grammars to see whether or not specific properties hold;
- To compose components such as parsers, analysers, and code generators;



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## Learning goals

- ► To describe structures (i.e., "formulas") using grammars;
- To parse, i.e., to recognise (build) such structures in (from) a sequence of symbols;
- To analyse grammars to see whether or not specific properties hold;
- To compose components such as parsers, analysers, and code generators;
- To apply these techniques in the construction of all kinds of programs;



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## Learning goals

- ► To describe structures (i.e., "formulas") using grammars;
- To parse, i.e., to recognise (build) such structures in (from) a sequence of symbols;
- To analyse grammars to see whether or not specific properties hold;
- To compose components such as parsers, analysers, and code generators;
- To apply these techniques in the construction of all kinds of programs;
- To explain and prove why certain problems can or cannot be described by means of formalisms such as context-free grammars or finite-state automata.



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## **1.2 Course Organization**



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#### **Course website**

#### ics.uu.nl/docs/vakken/b3tc



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## Assignments

Three practicals:

- ▶ P0: refresh your FP, doesn't count for the final grade
- ▶ P1–P3: theoretical and practical aspects
- ▶ Work in groups of two, self organize



#### Exams

Two exams: T1, T2

- Contents for each is specified in the schedule
- You cannot use lecture notes or other material for the exams.

Resit (aanvullende toets) exam: T3

You will receive an e-mail that tells you if you qualify for resit/relab, and telling you what you should in fact do. See the Osiris website for the rules.



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## Haskell

We use Haskell because many concepts from formal language theory have a direct correspondence in Haskell.



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1-12

## Haskell

We use Haskell because many concepts from formal language theory have a direct correspondence in Haskell.

Formal languages	Haskell
alphabet	datatype
sequence	list type
sentence/word	a concrete list
abstract syntax	datatype
grammar	parser
grammar transformation	parser transformation
parse tree	value of abstract syntax type
semantics	fold function, algebra



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## 1.3 Haskell Refresh



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```
Pattern matching
```

$$\begin{array}{l} \text{length} :: [a] \rightarrow \text{Int} \\ \text{length} [] = 0 \\ \text{length} (x : xs) = 1 + \text{length} xs \end{array}$$



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Pattern matching and recursion.

 $\begin{array}{l} \text{length}::[\mathsf{a}] \rightarrow \text{Int} \\ \text{length}\;[] &= 0 \\ \text{length}\;(\mathsf{x}:\mathsf{xs}) = 1 + \text{length}\;\mathsf{xs} \end{array}$ 



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Pattern matching and recursion.

 $\begin{array}{l} \text{length} :: \fbox{[a]} \rightarrow \texttt{Int} \\ \text{length} [\rbrack = 0 \\ \text{length} (\texttt{x}:\texttt{xs}) = 1 + \texttt{length} \ \texttt{xs} \end{array}$ 

Type signatures, but type inference.



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Pattern matching and recursion.

```
 \begin{array}{l} \text{length}:: \fbox{a} \to \texttt{Int} \\ \text{length} [] &= 0 \\ \text{length} (\texttt{x}:\texttt{xs}) = 1 + \texttt{length} \ \texttt{xs} \end{array}
```

Type signatures, but type inference.

Polymorphism – length works for any list.



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# Currying

Functions with multiple arguments are written as "functions to functions  $\dots$ ":

$$\begin{array}{l} (+)::[a] \rightarrow [a] \rightarrow [a] \\ [] \qquad + ys = ys \\ (x:xs) + ys = x: (xs + ys) \end{array}$$

Again, (++) is polymorphic. We need not know the type of list elements, but both argument lists must have the same type of elements!



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## Higher-order functions – map

Applying a function to every element of a list:

$$\mathsf{map}::(\mathsf{a}\to\mathsf{b})\to[\mathsf{a}]\to[\mathsf{b}]$$



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Applying a function to every element of a list:

$$\mathsf{map}::(\mathsf{a}\to\mathsf{b})\to[\mathsf{a}]\to[\mathsf{b}]$$

Example:

$$\begin{array}{l} \mathsf{map}\;(+1)\;[1,2,3,4,5] \\ = \;\; [2,3,4,5,6] \end{array}$$



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## Higher-order functions - filter

Filtering a list according to a predicate:

```
\mathsf{filter}::(\mathsf{a}\to\mathsf{Bool})\to[\mathsf{a}]\to[\mathsf{a}]
```



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## Higher-order functions - filter

Filtering a list according to a predicate:

```
\mathsf{filter}::(\mathsf{a}\to\mathsf{Bool})\to[\mathsf{a}]\to[\mathsf{a}]
```

Example:

```
filter even [1, 2, 3, 4, 5]
= [2, 4]
```



## Higher-order functions - foldr

Traversing a list according to its structure:

```
\mathsf{foldr} :: (\mathsf{a} \to \mathsf{b} \to \mathsf{b}) \to \mathsf{b} \to [\mathsf{a}] \to \mathsf{b}
```



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## Higher-order functions - foldr

Traversing a list according to its structure:

 $\mathsf{foldr} :: (\mathsf{a} \to \mathsf{b} \to \mathsf{b}) \to \mathsf{b} \to [\mathsf{a}] \to \mathsf{b}$ 

Example:



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#### Datatypes

```
data Tree a = Leaf a
| Node (Tree a) (Tree a)
```



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data Tree a = Leaf a
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```

Datatypes can have parameters.



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```
data Tree a = Leaf a
| Node (Tree a) (Tree a)
```

Datatypes can have parameters.

Multiple constructors:

Constructors describe the shape of values of the datatype. They can be used in patterns.



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#### **Functions on trees**

```
 \begin{array}{l} \mbox{size}:: \mbox{Tree a} \rightarrow \mbox{Int} \\ \mbox{size} \ (\mbox{Leaf x}) &= 1 \\ \mbox{size} \ (\mbox{Node I r}) = \mbox{size I} + \mbox{size r} \end{array}
```



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## **Functions on trees**

```
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```

Exercise: A function that reverses (mirrors) a tree.



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#### **Functions on trees**

```
size :: Tree a \rightarrow Int
size (Leaf x) = 1
size (Node I r) = size I + size r
```

Exercise: A function that reverses (mirrors) a tree.

```
\begin{array}{l} \mbox{reverse}:: \mbox{Tree a} \rightarrow \mbox{Tree a} \\ \mbox{reverse} \ (\mbox{Leaf } x) &= \mbox{Leaf } x \\ \mbox{reverse} \ (\mbox{Node I } r) = \mbox{Node} \ (\mbox{reverse } r) \ (\mbox{reverse } I) \end{array}
```



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## 1.4 (Formal) Languages



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## What is a language?



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#### What is a language?

A language is a set of sentences (or words).



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A language is a set of sentences (or words).

Which sentences belong to a language, and why?

In natural languages, this is often informally defined and subject to discussion.



A language is a set of sentences (or words).

Which sentences belong to a language, and why?

- In natural languages, this is often informally defined and subject to discussion.
- ▶ For a formal language, we want a precise definition.



## Sets

A set is a collection of elements.

- No duplicates
- No order
- ▶ The empty set: Ø
- A nonempty set: {a,b,c}



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## Sets

A set is a collection of elements.

- No duplicates
- No order
- ▶ The empty set: Ø
- A nonempty set: {a,b,c}
- Union
- Intersection



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## **Alphabet**

An **alphabet** is a (finite) set of symbols that can be used to form sentences.





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An **alphabet** is a (finite) set of symbols that can be used to form sentences.

- ▶ {a,b,c}
- ▶ {0,1}
- ► The set of all Latin letters



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An **alphabet** is a (finite) set of symbols that can be used to form sentences.

- $\blacktriangleright \ {\tt a,b,c} \\$
- ▶ {0,1}
- ▶ The set of all Latin letters
- The set of ASCII characters



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An **alphabet** is a (finite) set of symbols that can be used to form sentences.

- $\blacktriangleright \ {\tt a,b,c}$
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- ▶ {a,b,c}
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▶ The set of all Latin letters

The set of ASCII characters

- The set of Unicode code points
- $\blacktriangleright \ {\tt \{A,C,G,T\}}$



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- $\blacktriangleright \ {\tt \{A,C,G,T\}}$
- $\blacktriangleright \ \{ \texttt{if}, \texttt{then}, \texttt{else}, \texttt{do} \}$



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- $\blacktriangleright \{A, C, G, T\}$
- $\blacktriangleright$  {if,then,else,do}
- ▶ {+,-}

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▶ {+,-}



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Given a set, we can consider (finite) sequences of elements of that set.



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Given a set, we can consider (finite) sequences of elements of that set.

Let  $A = \{a, b, c\}$ . Examples of sequences over A:

abc



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🕨 a

acccabcabcabbaca



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▶ abc

🕨 a

- acccabcabcabbaca
- bbbbbbbbb



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 $\triangleright$   $\varepsilon$ 

abc
a

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bbbbbbbbb

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Let  $A = \{a, b, c\}$ . Examples of sequences over A:



```
🕨 a
```

- acccabcabcabbaca
- bbbbbbbbb

 $\triangleright$   $\varepsilon$ 

The empty sequence is difficult to visualize. Therefore, we usually write  $\varepsilon$  as a placeholder to denote the empty sequence.



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Given an arbitrary sequence over elements of a set A, we can make one of the two following observations:

 $\blacktriangleright$  it is the empty sequence  $\varepsilon$ ,



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Given an arbitrary sequence over elements of a set A, we can make one of the two following observations:

it is the empty sequence ε,

► the sequence has a first element a ∈ A, and if we split off that element, the tail is still a (possibly empty) sequence z.



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Given an arbitrary sequence over elements of a set A, we can make one of the two following observations:

- it is the empty sequence ε,
- ► the sequence has a first element a ∈ A, and if we split off that element, the tail is still a (possibly empty) sequence z.

We can use this observation to define sequences.



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Given a set A. The set of sequences over A, written  $A^*$ , is defined as follows:

• the empty sequence  $\varepsilon$  is in A\*,



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Given a set A. The set of sequences over A, written  $A^*$ , is defined as follows:

• the empty sequence  $\varepsilon$  is in A\*,

• if  $a \in A$  and  $z \in A^*$ , then az is in  $A^*$ .



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Given a set A. The set of sequences over A, written  $A^*$ , is defined as follows:

• the empty sequence  $\varepsilon$  is in A\*,

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In such an inductive definition, it is implicitly understood that

nothing else is in A\*,



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Given a set A. The set of sequences over A, written  $A^*$ , is defined as follows:

• the empty sequence  $\varepsilon$  is in A\*,

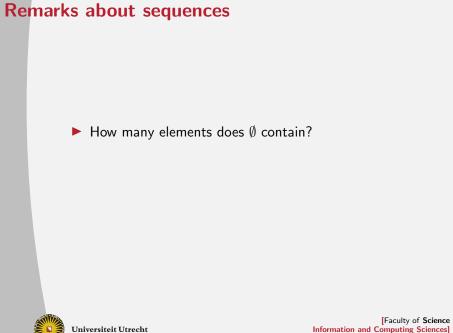
• if  $a \in A$  and  $z \in A^*$ , then az is in  $A^*$ .

In such an inductive definition, it is implicitly understood that

- nothing else is in A\*,
- we can only apply the construction steps a finite number of times, i.e., only finite sequences are in A\*.



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#### **Remarks about sequences**

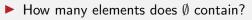
▶ How many elements does Ø contain?

► How many elements does Ø\* contain?



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#### **Remarks about sequences**



- ▶ How many elements does Ø<sup>\*</sup> contain?
- How many elements does {a, b, c} contain?



#### **Remarks about sequences**

How many elements does Ø contain?
How many elements does Ø\* contain?
How many elements does {a, b, c} contain?
How many elements does {a, b, c}\* contain?



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#### Language

#### Given an alphabet A, a language is a subset of A\*.



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#### Language

Given an alphabet A, a language is a subset of  $A^*$ .

Note that we consider any set X to be a subset of itself:  $X \subseteq X$ .



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#### Language

Given an alphabet A, a language is a subset of  $A^*$ .

Note that we consider any set X to be a subset of itself:  $X \subseteq X$ . So A<sup>\*</sup> is a valid language with alphabet A.



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#### How to define a language?

#### So a language is just the set of correct sentences.



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# How to define a language?

So a language is just the set of correct sentences.

But how do we define such a set?

By enumerating all elements?

- By using a predicate?
- By giving an inductive definition?



• . . .

# How to define a language?

So a language is just the set of correct sentences.

But how do we define such a set?

By enumerating all elements?

- By using a predicate?
- By giving an inductive definition?

All these are possible, and more.



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#### **Example**

# Let the set of digits $D=\{0,1,2,3,4,5,6,7,8,9\}$ be our alphabet.



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This is a language:

$$\mathsf{L} = \{2, 3, 5, 7, 11, 13, 17, 19\}$$

How can we describe this language?



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This is a language:

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How can we describe this language?

The language L is the language over D of all prime numbers less than 20.



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#### Languages by enumeration

Enumerating all elements of a language is impossible if the language is infinite.



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- Most interesting languages are infinite:
  - ► C#► Haskell

▶ ...



#### Languages by enumeration

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  - ► C#
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Defining a language using a predicate seems better.



[Faculty of Science Information and Computing Sciences]

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### **Defining by predicate example**

Let 
$$A = \{a, b, c\}$$
 be our alphabet.



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# **Defining by predicate example**

Let 
$$\mathsf{A} = \{\mathtt{a}, \mathtt{b}, \mathtt{c}\}$$
 be our alphabet.

Then

$$\mathsf{PAL} = \{\mathsf{s} \in \mathsf{A}^* \mid \mathsf{s} = \mathsf{s}^R\}$$

is the language of **palindromes** over A.



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#### Example – contd.

Palindromes can also be defined inductively:

- $\blacktriangleright$   $\varepsilon$  is in PAL,
- a, b, c are in PAL,
- ▶ if P is in PAL, then aPa, bPb and cPc are also in PAL.



Which definition is better?

$$\mathsf{PAL} = \{\mathsf{s} \in \mathsf{A}^* \mid \mathsf{s} = \mathsf{s}^R\}$$

#### or

The set PAL of palindromes over A is defined as follows:

- a, b, c are in PAL,
- if P is in PAL, then aPa, bPb and cPc are also in PAL.



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Definition by predicate is (in this case) shorter.



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Definition by predicate is (in this case) shorter.

How can we check whether a given sequence is in PAL?



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Definition by predicate is (in this case) shorter.

How can we check whether a given sequence is in PAL?

How can we generate all the words in PAL?



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Definition by predicate is (in this case) shorter.

How can we check whether a given sequence is in PAL?

How can we generate all the words in PAL?

An inductive definition gives us more structure, and makes it easier to explain why a sentence is in the language.



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Alphabet A finite set of symbols.

This werkcollege: Haskell setup and P0.



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### Summary

# Alphabet A finite set of symbols. Language A set of words/sentences, i.e., sequences of symbols from the alphabet.

This werkcollege: Haskell setup and P0.



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## Summary

Alphabet A finite set of symbols.

- Language A set of words/sentences, i.e., sequences of symbols from the alphabet.
- Grammar Next lecture: A way to define a language inductively by means of rewrite rules.

This werkcollege: Haskell setup and P0.



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