



Universiteit Utrecht

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# Talen en Compilers

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# 6. Compositionality



# This lecture

## Compositionality

Compiler overview

Folding

Matched parentheses

Simple expressions

A fold for all datatypes

Advanced folds



# 6.1 Compiler overview



# Phases of a compiler

Roughly:

- ▶ Lexing and parsing
- ▶ Analysis and type checking
- ▶ Desugaring
- ▶ Optimization
- ▶ Code generation



# Phases of a compiler

Roughly:

- ▶ Lexing and parsing
- ▶ Analysis and type checking
- ▶ Desugaring
- ▶ Optimization
- ▶ Code generation

Note that not all compilers have all phases, and others may have more phases (typically multiple desugaring and optimization phases).



# Abstract syntax trees

Abstract syntax trees (AST) play a central role:

- ▶ Some phases build ASTs (such as parsing).
- ▶ Most phases traverse ASTs (such as analysis, type checking, code generation).
- ▶ Some phases traverse one AST and build another (such as desugaring).



# Status

So far

How to build ASTs using a combinator parser.





# Status

So far

How to build ASTs using a combinator parser.

Now

How to traverse ASTs systematically in order to compute all sorts of information.



## 6.2 Folding



# Functions over lists

$$\text{sum } [] = 0$$

$$\text{sum } (x : xs) = x + \text{sum } xs$$

$$\text{length } [] = 0$$

$$\text{length } (x : xs) = 1 + \text{length } xs$$



# Functions over lists

$$\begin{aligned} \text{sum } [] &= 0 \\ \text{sum } (x : xs) &= x + \text{sum } xs \end{aligned}$$

$$\begin{aligned} \text{length } [] &= 0 \\ \text{length } (x : xs) &= 1 + \text{length } xs \end{aligned}$$

We abstract the commonalities using a **fold**:

$$\begin{aligned} \text{foldr} &:: (a \rightarrow r \rightarrow r) \rightarrow r \rightarrow [a] \rightarrow r \\ \text{foldr } _ \ v \ [] &= v \\ \text{foldr } f \ v \ (x : xs) &= f \ x \ (\text{foldr } f \ v \ xs) \end{aligned}$$

$$\begin{aligned} \text{sum} &= \text{foldr } (+) \ 0 \\ \text{length} &= \text{foldr } (\lambda\_ r \rightarrow 1 + r) \ 0 \end{aligned}$$



# List algebra

We can pack the arguments to foldr into a single one:

$$\text{foldr} :: (r, a \rightarrow r \rightarrow r) \rightarrow [a] \rightarrow r$$
$$\text{foldr } (v, -) [] = v$$
$$\text{foldr } (v, f) (x : xs) = f x (\text{foldr } (v, f) xs)$$


# List algebra

We can pack the arguments to foldr into a single one:

```
foldr :: (r, a → r → r) → [a] → r
foldr (v, _) [] = v
foldr (v, f) (x : xs) = f x (foldr (v, f) xs)
```

The pair  $(v, f)$  is called a **list algebra**:

```
type ListAlgebra a r = (r, a → r → r)
foldr :: ListAlgebra a r → [a] → r
```

foldr receives a **list algebra** and a **list**, and returns a **result** from the **carrier** of the algebra.



# map is a fold

## Question

Write a list algebra `mapAlg` such that `foldr (mapAlg f) = map f`.

`mapAlg :: (a → b) → ListAlgebra a [b]`  
`mapAlg f = (−, −)`



# map is a fold

## Question

Write a list algebra `mapAlg` such that `foldr (mapAlg f) = map f`.

`mapAlg :: (a → b) → ListAlgebra a [b]`  
`mapAlg f = (–, –)`

`mapAlg f = ([], λa bs → –)`





# map is a fold

## Question

Write a list algebra `mapAlg` such that `foldr (mapAlg f) = map f`.

`mapAlg :: (a → b) → ListAlgebra a [b]`  
`mapAlg f = (–, –)`

`mapAlg f = ([], λa bs → –)`

`mapAlg f = ([], λa bs → f a : bs)`



# filter is a fold

Write a list algebra filterAlg:  $\text{foldr (filterAlg f) = filter f}$ .

```
filterAlg :: (a → Bool) → ListAlgebra a [a]
filterAlg f = (–, –)
```



# filter is a fold

Write a list algebra filterAlg:  $\text{foldr (filterAlg f) = filter f}$ .

$\text{filterAlg} :: (a \rightarrow \text{Bool}) \rightarrow \text{ListAlgebra } a [a]$   
 $\text{filterAlg } f = (\_, \_)$

$\text{filterAlg } f = ([], \lambda x \text{ xs} \rightarrow \_)$



# filter is a fold

Write a list algebra filterAlg:  $\text{foldr}(\text{filterAlg } f) = \text{filter } f$ .

$\text{filterAlg} :: (a \rightarrow \text{Bool}) \rightarrow \text{ListAlgebra } a [a]$   
 $\text{filterAlg } f = (-, -)$

$\text{filterAlg } f = ([], \lambda x \text{ xs} \rightarrow -)$

$\text{filterAlg } f = ([], \lambda x \text{ xs} \rightarrow \text{if } f \ x \ \text{then } x : \text{xs} \ \text{else } \text{xs})$



## 6.3 Matched parentheses



# Matched parentheses revisited

Grammar:

|  $S \rightarrow ( S ) S \mid \varepsilon$

Abstract syntax:

| **data** Parens = Match Parens Parens  
| Empty



# Matched parentheses revisited

Grammar:

$S \rightarrow ( S ) S \mid \varepsilon$

Abstract syntax:

**data** Pares = Match Pares Pares  
                  | Empty

Count the number of pairs:

count :: Pares  $\rightarrow$  Int  
count (Match p<sub>1</sub> p<sub>2</sub>) = (count p<sub>1</sub> + 1) + count p<sub>2</sub>  
count Empty = 0



## Matched parentheses – contd.

Maximal nesting depth:

$\text{depth} :: \text{Parens} \rightarrow \text{Int}$

$\text{depth} (\text{Match } p_1 \ p_2) = (\text{depth } p_1 + 1) \text{ 'max' depth } p_2$

$\text{depth Empty} = 0$





## Matched parentheses – contd.

Maximal nesting depth:

$\text{depth} :: \text{Parens} \rightarrow \text{Int}$

$\text{depth} (\text{Match } p_1 \ p_2) = (\text{depth } p_1 + 1) \text{ 'max' depth } p_2$

$\text{depth Empty} = 0$

String representation:

$\text{print} :: \text{Parens} \rightarrow \text{String}$

$\text{print} (\text{Match } p_1 \ p_2) = "(" \ \text{print } p_1 \ \text{"})" \ \text{print } p_2$

$\text{print Empty} = ""$



# Capturing the recursive structure

All the functions we have seen have the following structure:

$$f :: \text{Parens} \rightarrow \dots$$
$$f (\text{Match } p_1 \ p_2) = \dots (f \ p_1) (f \ p_2)$$
$$f \ \text{Empty} = \dots$$


# Capturing the recursive structure

All the functions we have seen have the following structure:

$$\begin{array}{l} f :: \text{Parens} \rightarrow \dots \\ f (\text{Match } p_1 \ p_2) = \dots (f \ p_1) (f \ p_2) \\ f \text{ Empty} \quad \quad \quad = \dots \end{array}$$

## Idea

Let us abstract from this recursive structure.



## Capturing the recursive structure – contd.

$f :: \text{Parens} \rightarrow \dots$

$f (\text{Match } p_1 \ p_2) = \dots (f \ p_1) (f \ p_2)$

$f \ \text{Empty} = \dots$



## Capturing the recursive structure – contd.

$f :: \text{Parens} \rightarrow r$

$f (\text{Match } p_1 \ p_2) = \dots (f \ p_1) (f \ p_2)$

$f \ \text{Empty} = \dots$



## Capturing the recursive structure – contd.

$f :: \text{Parens} \rightarrow r$

$f (\text{Match } p_1 \ p_2) = \text{match } (f \ p_1) \ (f \ p_2)$

$f \ \text{Empty} = \dots$



# Capturing the recursive structure – contd.

$f :: \text{Parens} \rightarrow r$

$f (\text{Match } p_1 \ p_2) = \text{match } (f \ p_1) \ (f \ p_2)$

$f \ \text{Empty} = \text{empty}$



# Capturing the recursive structure – contd.

$$\begin{array}{l} f :: \text{Parens} \rightarrow r \\ f (\text{Match } p_1 \ p_2) = \text{match } (f \ p_1) \ (f \ p_2) \\ f \text{ Empty} \quad \quad = \text{empty} \end{array}$$

## Question

Given that the result type is  $r$ , what are the types of `match` and `empty`? And how do they compare to the types of `Match` and `Empty`?





# Capturing the recursive structure – contd.

$$\begin{array}{l} f :: \text{Parens} \rightarrow r \\ f (\text{Match } p_1 \ p_2) = \text{match } (f \ p_1) \ (f \ p_2) \\ f \ \text{Empty} \quad \quad = \text{empty} \end{array}$$

## Question

Given that the result type is  $r$ , what are the types of `match` and `empty`? And how do they compare to the types of `Match` and `Empty`?

$$\begin{array}{l} \text{match} :: r \rightarrow r \rightarrow r \\ \text{empty} :: r \end{array}$$


# Capturing the recursive structure – contd.

$$\begin{array}{l} f :: \text{Parens} \rightarrow r \\ f (\text{Match } p_1 \ p_2) = \text{match } (f \ p_1) \ (f \ p_2) \\ f \ \text{Empty} \quad \quad = \text{empty} \end{array}$$

## Question

Given that the result type is  $r$ , what are the types of `match` and `empty`? And how do they compare to the types of `Match` and `Empty`?

$$\begin{array}{l} \text{match} :: r \rightarrow r \rightarrow r \\ \text{empty} :: r \end{array}$$
$$\begin{array}{l} \text{Match} :: \text{Parens} \rightarrow \text{Parens} \rightarrow \text{Parens} \\ \text{Empty} :: \text{Parens} \end{array}$$


## Capturing the recursive structure – contd.

For each of the functions count, depth and print we have to give different definitions for match and empty.



## Capturing the recursive structure – contd.

For each of the functions `count`, `depth` and `print` we have to give different definitions for `match` and `empty`.

```
type ParensAlgebra r = (r → r → r, -- match  
                        r)           -- empty
```



## Capturing the recursive structure – contd.

For each of the functions count, depth and print we have to give different definitions for match and empty.

```
type ParensAlgebra r = (r → r → r,  -- match
                        r)           -- empty
```

```
foldParens :: ParensAlgebra r → Parens → r
foldParens (match, empty) = f
  where f (Match p1 p2) = match (f p1) (f p2)
        f Empty          = empty
```



# Using foldParens

```
countAlgebra :: ParensAlgebra Int
countAlgebra = ( $\lambda c_1 c_2 \rightarrow c_1 + c_2 + 1, 0$ )
count = foldParens countAlgebra
```

```
depthAlgebra :: ParensAlgebra Int
depthAlgebra = ( $\lambda d_1 d_2 \rightarrow (d_1 + 1) \text{ 'max' } d_2, 0$ )
depth = foldParens depthAlgebra
```

```
printAlgebra :: ParensAlgebra String
printAlgebra = ( $\lambda p_1 p_2 \rightarrow "(" \text{ ++ } p_1 \text{ ++ } ")" \text{ ++ } p_2, ""$ )
print = foldParens printAlgebra
```



## 6.4 Simple expressions



# Arithmetic expressions

Grammar:

$$E \rightarrow E + E$$

$$E \rightarrow - E$$

$$E \rightarrow \text{Nat}$$

$$E \rightarrow ( E )$$

Transformed grammar:

$$E \rightarrow E' + E \mid E'$$

$$E' \rightarrow - E'$$

$$E' \rightarrow \text{Nat}$$

$$E' \rightarrow ( E )$$





# Arithmetic expressions

Grammar:

$$\begin{array}{l} E \rightarrow E + E \\ E \rightarrow - E \\ E \rightarrow \text{Nat} \\ E \rightarrow ( E ) \end{array}$$

Transformed grammar:

$$\begin{array}{l} E \rightarrow E' + E \mid E' \\ E' \rightarrow - E' \\ E' \rightarrow \text{Nat} \\ E' \rightarrow ( E ) \end{array}$$

Abstract syntax, based on original grammar:

```
data E = Add E E
      | Neg E
      | Num Int
```



# Functions on expressions

**data** E = Add E E  
| Neg E  
| Num Int

eval :: E → Int

eval (Add e<sub>1</sub> e<sub>2</sub>) = eval e<sub>1</sub> + eval e<sub>2</sub>

eval (Neg e) = - (eval e)

eval (Num n) = n



# Functions on expressions

```
data E = Add E E
      | Neg E
      | Num Int
```

```
eval :: E → Int
eval (Add e1 e2) = eval e1 + eval e2
eval (Neg e)       = - (eval e)
eval (Num n)       = n
```

Once more, the structure of the function reflects the structure of the datatype.

**Can you write EAlgebra, foldE, and the algebra for eval?**



# Functions on expressions – contd.

Datatype:

**data** E = Add E E  
| Neg E  
| Num Int



# Functions on expressions – contd.

Datatype:

```
data E = Add E E
      | Neg E
      | Num Int
```

Types of the constructors:

```
Add  :: E → E → E
Neg   :: E → E
Num   :: Int → E
```



# Functions on expressions – contd.

Datatype:

```
data E = Add E E
      | Neg E
      | Num Int
```

Types of the constructors:

```
Add :: E → E → E
Neg  :: E → E
Num  :: Int → E
```

Algebra:

```
type EAlgebra r = (r → r → r, -- add
                   r → r,       -- neg
                   Int → r)     -- num
```



# Functions on expressions – contd.

With the algebra, we can define a fold:

```
type EAlgebra r = (r → r → r, -- add
                  r → r,      -- neg
                  Int → r)     -- num
```



# Functions on expressions – contd.

With the algebra, we can define a fold:

```
type EAlgebra r = (r → r → r,  -- add
                  r → r,        -- neg
                  Int → r)      -- num
```

```
foldE :: EAlgebra r → E → r
```

```
foldE (add, neg, num) = f
```

```
  where f (Add e1 e2) = add (f e1) (f e2)
```

```
        f (Neg e)       = neg (f e)
```

```
        f (Num n)       = num n
```





## Functions on expressions – contd.

With the algebra, we can define a fold:

```
type EAlgebra r = (r → r → r,  -- add
                   r → r,       -- neg
                   Int → r)     -- num
```

```
foldE :: EAlgebra r → E → r
```

```
foldE (add, neg, num) = f
```

```
  where f (Add e1 e2) = add (f e1) (f e2)
```

```
        f (Neg e)       = neg (f e)
```

```
        f (Num n)       = num n
```

```
evalAlgebra :: EAlgebra Int
```

```
evalAlgebra = ((+), negate, id)
```

```
eval = foldE evalAlgebra
```



## 6.5 A fold for all datatypes



# How to build a fold, in general

For a datatype  $T$ , we can define a fold function as follows:

- ▶ Define an algebra type  $T$ Algebra that is parameterized over all of  $T$ 's parameters, plus a result type  $r$ .
- ▶ The algebra is a tuple containing one component per constructor function.
- ▶ The types of the components are like the types of the constructor functions, but all (recursive) occurrences of  $T$  are replaced with  $r$ .
- ▶ The fold function is defined by traversing the data structure, replacing constructors with their corresponding algebra components, and recursing where required.



# Trees

**data** Tree a = Leaf a  
                  | Node (Tree a) (Tree a)

Leaf :: a → Tree a

Node :: Tree a → Tree a → Tree a



# Trees

```
data Tree a = Leaf a
           | Node (Tree a) (Tree a)
```

```
Leaf  :: a → Tree a
```

```
Node  :: Tree a → Tree a → Tree a
```

```
type TreeAlgebra a r = (a → r,      -- leaf
                        r → r → r)  -- node
```

```
foldTree :: TreeAlgebra a r → Tree a → r
```

```
foldTree (leaf, node) = f
```

```
where f (Leaf x)  = leaf x
```

```
        f (Node l r) = node (f l) (f r)
```



# Tree algebra examples

```
sizeAlgebra    :: TreeAlgebra a Int
sumAlgebra     :: TreeAlgebra Int Int
inorderAlgebra :: TreeAlgebra a [a]
reverseAlgebra :: TreeAlgebra a (Tree a)
```



# Tree algebra examples

sizeAlgebra :: TreeAlgebra a Int  
sumAlgebra :: TreeAlgebra Int Int  
inorderAlgebra :: TreeAlgebra a [a]  
reverseAlgebra :: TreeAlgebra a (Tree a)

sizeAlgebra = (const 1, (+))  
sumAlgebra = (id, (+))  
inorderAlgebra = ((:[]), ++)  
reverseAlgebra = (Leaf, flip Node)



# Identity algebra

```
idAlgebra :: TreeAlgebra a (Tree a)
idAlgebra = (Leaf, Node)
```

Every datatype has an **identity** algebra, which arises by using the **constructors** as components of the algebra.





# Maybe

```
data Maybe a = Nothing  
              | Just a
```

```
Nothing  :: Maybe a
```

```
Just     :: a → Maybe a
```

```
type MaybeAlgebra a r = (r,  
                          a → r)
```

```
foldMaybe :: MaybeAlgebra a r → Maybe a → r
```

```
foldMaybe (nothing, just) = f
```

```
  where f Nothing = nothing
```

```
        f (Just x) = just x
```



## foldMaybe vs. maybe

**type** MaybeAlgebra a r = (r,  
a → r)

foldMaybe :: MaybeAlgebra a r → Maybe a → r

foldMaybe (nothing, just) = f

**where** f Nothing = nothing  
f (Just x) = just x

maybe :: r → (a → r) → Maybe a → r

maybe nothing just Nothing = nothing

maybe nothing just (Just x) = just x

maybe nothing just == foldMaybe (nothing, just)



# Bool

```
data Bool = True
          | False
```

```
True :: Bool
```

```
False :: Bool
```

What is the algebra and the fold of Bool?



# Bool

```
data Bool = True  
          | False
```

```
True :: Bool
```

```
False :: Bool
```

What is the algebra and the fold of Bool?

```
type BoolAlgebra r = (r,  
                      r)
```

```
foldBool :: BoolAlgebra r → Bool → r
```

```
foldBool (true, false) True = true
```

```
foldBool (true, false) False = false
```



# Bool

```
data Bool = True  
          | False
```

```
True :: Bool
```

```
False :: Bool
```

What is the algebra and the fold of Bool?

```
type BoolAlgebra r = (r,  
                      r)
```

```
foldBool :: BoolAlgebra r → Bool → r
```

```
foldBool (true, false) True = true
```

```
foldBool (true, false) False = false
```

```
foldBool (true, false) x == if x then true else false
```



# Exercise 1

Write the type of the algebra for the following datatype:

```
data Expr v = Var v
           | App (Expr v) (Expr v)
           | Lam v (Expr v)
```

This represents  $\lambda$ -expressions in which variables are represented by values of type  $v$  (the  **$\lambda$ -calculus**).



# Exercise 1

Write the type of the algebra for the following datatype:

```
data Expr v = Var v
           | App (Expr v) (Expr v)
           | Lam v (Expr v)
```

This represents  $\lambda$ -expressions in which variables are represented by values of type  $v$  (the  **$\lambda$ -calculus**).

```
type ExprAlgebra v r = (v  $\rightarrow$  r, r  $\rightarrow$  r  $\rightarrow$  r, v  $\rightarrow$  r  $\rightarrow$  r)
foldExpr :: ExprAlgebra v r  $\rightarrow$  Expr v  $\rightarrow$  r
foldExpr (var, app, lam) = f
  where f (Var v)    = var v
        f (App x y)  = app (f x) (f y)
        f (Lam v e)  = lam v (f e)
```



## Exercise 2a

Here is the datatype of **symmetric lists**:

```
data SymList a = Zero
                | One a
                | Add a (SymList a) a
```

Write the algebra and the fold.





## Exercise 2a

Here is the datatype of **symmetric lists**:

```
data SymList a = Zero
                | One a
                | Add a (SymList a) a
```

Write the algebra and the fold.

```
type SymListAlgebra a r = (r, a → r, a → r → a → r)
foldSymList :: SymListAlgebra a r → SymList a → r
foldSymList (z, _, _) Zero      = z
foldSymList (_, o, _) (One x)   = o x
foldSymList (z, o, a) (Add l c r) = a l (foldSymList (z, o, a) c) r
```



## Exercise 2b

```
data SymList a = Zero
                | One a
                | Add a (SymList a) a

type SymListAlgebra a r = (r, a → r, a → r → a → r)

foldSymList :: SymListAlgebra a r → SymList a → r
```

Write an algebra to check whether a given symmetric list is a **palindrome** (it reads the same in the reverse order):

```
palinAlg :: Eq a ⇒ SymAlgebra a Bool
```



# Advantages of using folds

- ▶ We stick to a systematic recursion pattern that is well known and easy to understand.
- ▶ Using a fold forces us to define semantics in a compositional fashion – the semantics of a whole term is composed from the semantics of its subterms.
- ▶ The systematic nature of a fold makes it easy to combine several folds into one. This is essential for efficiency in a compiler.



## 6.6 Advanced folds



# Combining algebras: Fusion

You can combine two algebras to produce pairs of results:

```
combine :: LAlgebra a x → LAlgebra a y → LAlgebra a (x, y)
combine (v1, f1) (v2, f2)
  = ((v1, v2), λx (r1, r2) → (f1 x r1, f2 x r2))
```

Now you only need to traverse the data structure **once!**



# Combining algebras: Fusion

You can combine two algebras to produce pairs of results:

```
combine :: LAlgebra a x → LAlgebra a y → LAlgebra a (x, y)
combine (v1, f1) (v2, f2)
  = ((v1, v2), λx (r1, r2) → (f1 x r1, f2 x r2))
```

Now you only need to traverse the data structure **once!**

You can fuse a fold with a map:

```
foldr f v . map g == foldr (λx xs → f (g x) xs) v
mapAlg :: LAlgebra b x → (a → b) → LAlgebra a x
mapAlg (v, f) g = (v, λx xs → f (g x) xs)
```



# Fusing more algebras

combine1 :: LAlgebra a x  
→ LAlgebra a y  
→ LAlgebra a (x, y)

combine2 :: LAlgebra a x  
→ LAlgebra a (x → y)  
→ LAlgebra a (x, x → y, y)

combine3 :: LAlgebra a x  
→ LAlgebra x y  
→ LAlgebra a (x, y)



# Record Syntax

**type** ListAlgebra a r = (r, a → r → r)

foldList (nil, cons) [] = nil

foldList (nil, cons) (x : xs) = cons x (foldList (nil, cons) xs)

lengthAlg = (0, λ\_ l → l + 1)





# Record Syntax

**type** ListAlgebra a r = (r, a → r → r)

foldList (nil, cons) [] = nil

foldList (nil, cons) (x : xs) = cons x (foldList (nil, cons) xs)

lengthAlg = (0, λ\_ l → l + 1)

**data** ListAlgebra a r = ListAlg

{ nil :: r  
 , cons :: a → r → r }

foldList alg [] = nil alg

foldList alg (x : xs) = cons alg x (foldList alg xs)

lengthAlg = ListAlg { nil = 0, cons = λ\_ l → l + 1 }



# One Fix to rule them all...

We can separate the recursive structure of a datatype:

```
data Parens' r = Match' r r | Empty'
```

```
data List' a r = Nil' | Cons' a r
```

and tie it back together using a **fixpoint**:

```
newtype Fix f = Fix { unFix :: f (Fix f) }
```

```
type Parens = Fix Parens'
```

```
type List a = Fix (List' a)
```

```
[1, 2] == Fix (Cons' 1 (Fix (Cons' 2 (Fix Nil'))))
```



## ...and in the darkness fold them

**type** Algebra f a = f a → a

foldAlg :: Functor f ⇒ Algebra f a → Fix f → a

foldAlg alg (Fix x) = alg (fmap (foldAlg alg) x)

The length of a list can be defined that way:

lengthAlg :: Algebra (List' Char) Int -- that is

    :: List' Char Int → Int

lengthAlg Nil' = 0

lengthAlg (Cons' \_ l) = 1 + l



# Next lecture

- ▶ Mutually recursive datatypes.
- ▶ Defining algebras for more advanced computations.

